

The JS-44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for use of the Clerk of Court for the purpose of initiating the civil docket sheet.

## PLAINTIFFS

TEXAS TREASURY SAFEKEEPING TRUST COMPANY

ATTORNEYS (FIRM NAME, ADDRESS, AND TELEPHONE NUMBER)  
Frederic S. Fox, Esq., Kaplan Fox & Kilsheimer LLP, 850 Third Avenue, 14th  
Floor, New York, NY 10022, Tel: (212) 687-1980

## DEFENDANTS

ALLIANZ GLOBAL INVESTORS U.S. LLC. See Addendum A for a  
listing of additional Defendants

ATTORNEYS (IF KNOWN)

+

CAUSE OF ACTION (CITE THE U.S. CIVIL STATUTE UNDER WHICH YOU ARE FILING AND WRITE A BRIEF STATEMENT OF CAUSE)  
(DO NOT CITE JURISDICTIONAL STATUTES UNLESS DIVERSITY)

Negligence, Breach of Fiduciary Duty and Breach of Contract

Has this action, case, or proceeding, or one essentially the same been previously filed in SDNY at any time? No  Yes  Judge Previously Assigned

If yes, was this case Vol.  Invol.  Dismissed. No  Yes  If yes, give date \_\_\_\_\_ & Case No. \_\_\_\_\_

## IS THIS AN INTERNATIONAL ARBITRATION CASE?

No  Yes 

(PLACE AN [x] IN ONE BOX ONLY)

## NATURE OF SUIT

CONTRACT		TORTS	ACTIONS UNDER STATUTES			
[ ] 110	INSURANCE	[ ] 310 AIRPLANE	PERSONAL INJURY	FORFEITURE/PENALTY	BANKRUPTCY	OTHER STATUTES
[ ] 120	MARINE	[ ] 315 AIRPLANE PRODUCT	PHARMACEUTICAL PERSONAL INJURY/PRODUCT LIABILITY	[ ] 625 DRUG RELATED SEIZURE OF PROPERTY	[ ] 422 APPEAL 28 USC 158	[ ] 375 FALSE CLAIMS [ ] 376 QUI TAM
[ ] 130	MILLER ACT	LIABILITY	[ ] 365 PERSONAL INJURY	21 USC 881	[ ] 423 WITHDRAWAL	[ ] 400 STATE REAPPORTIONMENT
[ ] 140	NEGOTIABLE INSTRUMENT	[ ] 320 ASSAULT, LIBEL & SLANDER	PRODUCT LIABILITY	[ ] 690 OTHER	28 USC 157	[ ] 410 ANTITRUST [ ] 430 BANKS & BANKING [ ] 450 COMMERCE [ ] 460 DEPORTATION
[ ] 150	RECOVERY OF OVERPAYMENT & ENFORCEMENT OF JUDGMENT	[ ] 330 FEDERAL EMPLOYERS' LIABILITY	ASBESTOS PERSONAL INJURY PRODUCT LIABILITY	PROPERTY RIGHTS	[ ] 470 RACKETEER INFLUENCED & CORRUPT ORGANIZATION ACT (RICO)	[ ] 480 CONSUMER CREDIT [ ] 485 TELEPHONE CONSUMER PROTECTION ACT
[ ] 151	MEDICARE ACT	[ ] 340 MARINE	PERSONAL PROPERTY	[ ] 820 COPYRIGHTS [ ] 830 PATENT	[ ] 880 DEFEND TRADE SECRETS ACT	[ ] 490 CABLE/SATELLITE TV [ ] 850 SECURITIES/ COMMODITIES/ EXCHANGE
[ ] 152	RECOVERY OF DEFECTUALTED STUDENT LOANS (EXCL VETERANS)	[ ] 345 MARINE PRODUCT LIABILITY	[ ] 370 OTHER FRAUD	[ ] 835 PATENT-ABBREVIATED NEW DRUG APPLICATION	[ ] 840 TRADEMARK	[ ] 890 OTHER STATUTORY ACTIONS
[ ] 153	RECOVERY OF OVERPAYMENT OF VETERAN'S BENEFITS	[ ] 350 MOTOR VEHICLE	[ ] 371 TRUTH IN LENDING	SOCIAL SECURITY	[ ] 861 HIA (1395ff) [ ] 862 BLACK LUNG (923) [ ] 863 DIWC/DIWV (405(g)) [ ] 864 SSID TITLE XVI [ ] 865 RSI (405(g))	[ ] 891 AGRICULTURAL ACTS [ ] 893 ENVIRONMENTAL MATTERS [ ] 895 FREEDOM OF INFORMATION ACT [ ] 896 ARBITRATION [ ] 899 ADMINISTRATIVE PROCEDURE ACT/REVIEW OR APPEAL OF AGENCY DECISION
[x] 190	OTHER CONTRACT	[ ] 355 MOTOR VEHICLE	PRODUCT LIABILITY	LABOR	[ ] 710 FAIR LABOR STANDARDS ACT [ ] 720 LABOR/MGMT RELATIONS	[ ] 950 CONSTITUTIONALITY OF STATE STATUTES
[ ] 195	CONTRACT PRODUCT LIABILITY	ACTIONS UNDER STATUTES	PRISONER PETITIONS	[ ] 740 RAILWAY LABOR ACT	FEDERAL TAX SUITS	
[ ] 196	FRANCHISE	CIVIL RIGHTS	[ ] 463 ALIEN DETAINEE [ ] 510 MOTIONS TO VACATE SENTENCE 28 USC 2255	[ ] 751 FAMILY MEDICAL LEAVE ACT (FMLA)	[ ] 870 TAXES (U.S. Plaintiff or Defendant)	
			[ ] 530 HABEAS CORPUS [ ] 535 DEATH PENALTY	[ ] 790 OTHER LABOR LITIGATION	[ ] 871 IRS-THIRD PARTY	
			[ ] 540 MANDAMUS & OTHER	[ ] 791 EMPL RET INC SECURITY ACT (ERISA)	26 USC 7609	
REAL PROPERTY			IMMIGRATION			
[ ] 210	LAND CONDEMNATION	[ ] 441 VOTING [ ] 442 EMPLOYMENT [ ] 443 HOUSING/ ACCOMMODATIONS	PRISONER CIVIL RIGHTS	[ ] 462 NATURALIZATION		
[ ] 220	FORECLOSURE	[ ] 445 AMERICANS WITH DISABILITIES - EMPLOYMENT	[ ] 550 CIVIL RIGHTS [ ] 555 PRISON CONDITION	APPLICATION [ ] 465 OTHER IMMIGRATION ACTIONS		
[ ] 230	RENT LEASE & EJECTMENT	[ ] 446 AMERICANS WITH DISABILITIES - OTHER	[ ] 560 CIVIL DETAINEE CONDITIONS OF CONFINEMENT			
[ ] 240	TORTS TO LAND					
[ ] 245	TORT PRODUCT LIABILITY					
[ ] 290	ALL OTHER REAL PROPERTY					

Check if demanded in complaint:

CHECK IF THIS IS A CLASS ACTION  
UNDER F.R.C.P. 23

DO YOU CLAIM THIS CASE IS RELATED TO A CIVIL CASE NOW PENDING IN S.D.N.Y.  
AS DEFINED BY LOCAL RULE FOR DIVISION OF BUSINESS 13?

IF SO, STATE:

DEMAND \$ \_\_\_\_\_ OTHER \_\_\_\_\_ JUDGE Katherine Polk Failla DOCKET NUMBER 20cv05615-KPF

Check YES only if demanded in complaint  
JURY DEMAND:  YES  NO

NOTE: You must also submit at the time of filing the Statement of Relatedness form (Form IH-32).

(PLACE AN *x* IN ONE BOX ONLY)

<input checked="" type="checkbox"/> 1 Original Proceeding	<input type="checkbox"/> 2 Removed from State Court	<input type="checkbox"/> 3 Remanded from Appellate Court	<input type="checkbox"/> 4 Reinstated or Reopened	<input type="checkbox"/> 5 Transferred from (Specify District)	<input type="checkbox"/> 6 Multidistrict Litigation (Transferred)	<input type="checkbox"/> 7 Appeal to District Judge from Magistrate Judge
			<input type="checkbox"/> 8 Multidistrict Litigation (Direct File)			
<input type="checkbox"/> a. all parties represented						
<input type="checkbox"/> b. At least one party is pro se.						

(PLACE AN *x* IN ONE BOX ONLY)

1 U.S. PLAINTIFF  2 U.S. DEFENDANT  3 FEDERAL QUESTION  4 DIVERSITY  
(U.S. NOT A PARTY)

**IF DIVERSITY, INDICATE CITIZENSHIP BELOW.****CITIZENSHIP OF PRINCIPAL PARTIES (FOR DIVERSITY CASES ONLY)**

(Place an [X] in one box for Plaintiff and one box for Defendant)

CITIZEN OF THIS STATE	PTF [ ] 1	DEF [ ] 1	CITIZEN OR SUBJECT OF A FOREIGN COUNTRY	PTF [ ] 3	DEF [ ] 3	INCORPORATED and PRINCIPAL PLACE OF BUSINESS IN ANOTHER STATE	PTF [ ] 5	DEF [ ] 5
CITIZEN OF ANOTHER STATE	<input checked="" type="checkbox"/> 2	<input type="checkbox"/> 2	INCORPORATED or PRINCIPAL PLACE OF BUSINESS IN THIS STATE	<input type="checkbox"/> 4	<input checked="" type="checkbox"/> 4	FOREIGN NATION	<input type="checkbox"/> 6	<input type="checkbox"/> 6

**PLAINTIFF(S) ADDRESS(ES) AND COUNTY(IES)**

TEXAS TREASURY SAFEKEEPING TRUST COMPANY,  
208 East 10th Street, Austin, Texas 78701

**DEFENDANT(S) ADDRESS(ES) AND COUNTY(IES)**

See Addendum B

**DEFENDANT(S) ADDRESS UNKNOWN**

REPRESENTATION IS HEREBY MADE THAT, AT THIS TIME, I HAVE BEEN UNABLE, WITH REASONABLE DILIGENCE, TO ASCERTAIN THE RESIDENCE ADDRESSES OF THE FOLLOWING DEFENDANTS:

**COURTHOUSE ASSIGNMENT**

I hereby certify that this case should be assigned to the courthouse indicated below pursuant to Local Rule for Division of Business 18, 20 or 21.

Check one: THIS ACTION SHOULD BE ASSIGNED TO:  WHITE PLAINS  MANHATTAN

/s/ Frederic S. Fox

DATE 7/15/2021

SIGNATURE OF ATTORNEY OF RECORD

RECEIPT #

ADMITTED TO PRACTICE IN THIS DISTRICT

[ ] NO

[X] YES (DATE ADMITTED Mo. 11 Yr. 1985)  
Attorney Bar Code # FF-9102

Magistrate Judge is to be designated by the Clerk of the Court.

Magistrate Judge \_\_\_\_\_ is so Designated.

Ruby J. Krajick, Clerk of Court by \_\_\_\_\_ Deputy Clerk, DATED \_\_\_\_\_.

UNITED STATES DISTRICT COURT (NEW YORK SOUTHERN)

**Addendum A**

ALLIANZ GLOBAL INVESTORS U.S. HOLDINGS, LLC ;  
ALLIANZ ASSET MANAGEMENT OF AMERICA L.P.;  
ALLIANZ ASSET MANAGEMENT OF AMERICA LLC ;  
PFP HOLDINGS INC.;  
ALLIANZ ASSET MANAGEMENT OF AMERICA HOLDINGS INC.;  
ALLIANZ OF AMERICA INC.;  
ALLIANZ ASSET MANAGEMENT GMBH ; and  
ALLIANZ SE

**Addendum B**

ALLIANZ GLOBAL INVESTORS U.S. LLC, 1633 Broadway New York, NY 10019

ALLIANZ GLOBAL INVESTORS U.S. HOLDINGS, LLC, 1633 Broadway New York, NY 10019

ALLIANZ ASSET MANAGEMENT OF AMERICA L.P., 650 Newport Center Drive, Newport Beach CA 92660

ALLIANZ ASSET MANAGEMENT OF AMERICA LLC, 650 Newport Center Drive, Newport Beach CA 92660

PFP HOLDINGS INC., 650 Newport Center Drive, Newport Beach CA 92660

ALLIANZ ASSET MANAGEMENT OF AMERICA HOLDINGS INC., 650 Newport Center Drive, Newport Beach CA 92660

ALLIANZ OF AMERICA INC., 777 San Marin Drive Novato, CA 94945

ALLIANZ ASSET MANAGEMENT GMBH, SEIDLSTRASSE 24-24A MUNICH 2M D-80335, Germany

ALLIANZ SE, SEIDLSTRASSE 24-24A MUNICH 2M D-80335, Germany